

Date: October 18, 2002



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ashkenazi et al.

Appl. No. : 09/997,384

Filed : November 15, 2001

For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING  
THE SAME

Examiner : Unknown

Group Art Unit : 1645

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*Adeel S. Akhtar*  
Adeel S. Akhtar, Reg. No. 41,394

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Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with one (1) reference.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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PATENT

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		ENCODING THE SAME	)	
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INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

Enclosed is form PTO-1449 listing 1 reference that is also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

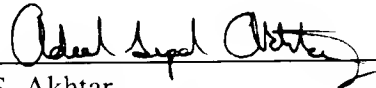
I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 3 months prior to the filing of this Information Disclosure Statement.

Appl. No. : 09/997,384  
Filed : November 15, 2001

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: October 18, 2002

By: 

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FORM PTO-1449

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GNE 2730P1C35APPLICATION NO  
09/997.384INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Ashkenazi et alFILING DATE  
November 15, 2001GROUP  
1645

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 99/06550	2/11/99	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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